

OEXN 监管查询

塞浦路斯 CySEC、ESMA 欧洲证券市场管理局、毛里求斯

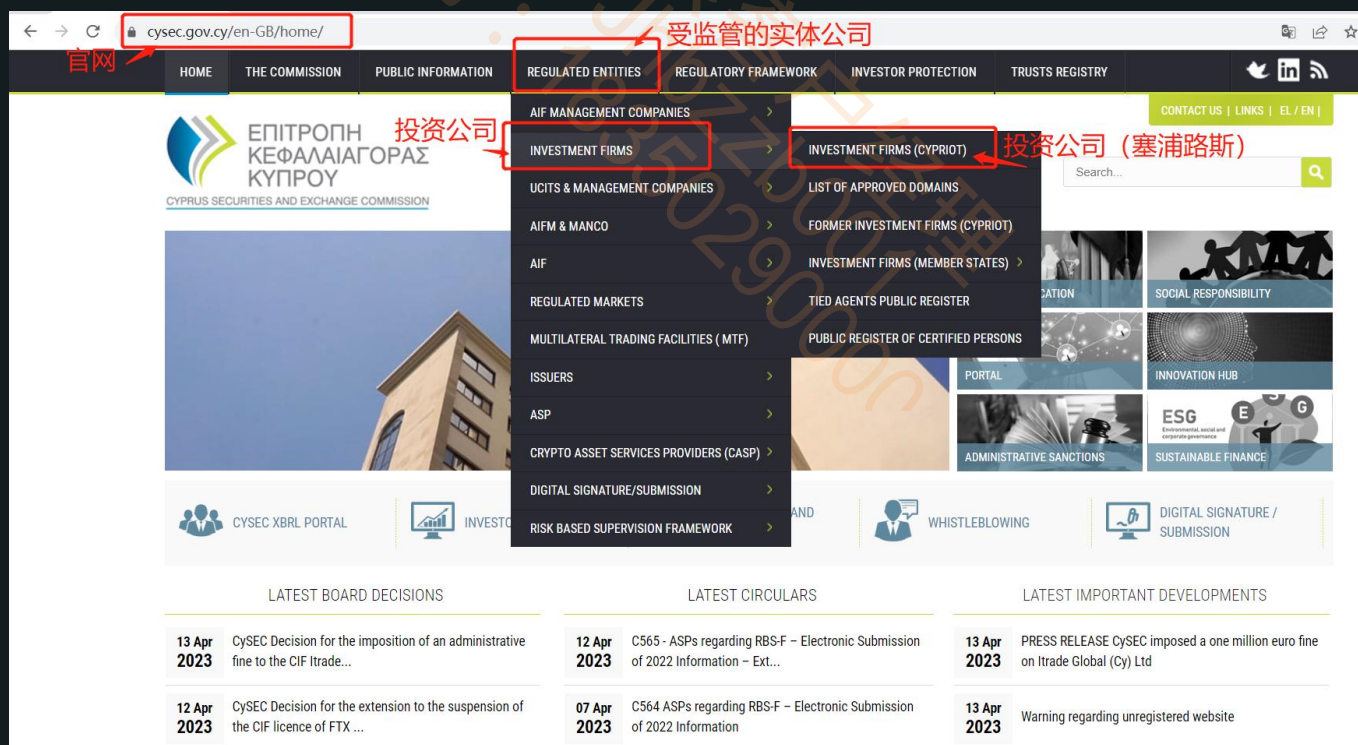


塞浦路斯证券和交易委员会 (CySEC)

CySEC 是根据《2001 年证券交易委员会（设立和责任）法》第 5 条而成立的公共金融监管机构，是塞浦路斯证券交易法的一部分，负责监管该国的金融市场，包括证券交易所、证券公司、投资公司和集体投资计划。CySEC 的主要目标是确保金融市场的平稳运行并保护投资者的利益。并且它有权对违反其规章制度的公司和个人采取纪律处分。

OEXN Limited 是一家塞浦路斯投资公司，由塞浦路斯证券交易委员会正式授权并受其监管，牌照编号为：423/22，具体查询流程如下：

1. 登陆到 CySEC 官网：www.cysec.gov.cy（谷歌浏览器可直接打开）；



2. 在 **INVESTMENT FIRMS** 界面搜索栏下面点击 “O”，选择 **OEXN Limited**

HOME THE COMMISSION PUBLIC INFORMATION REGULATED ENTITIES REGULATORY FRAMEWORK INVESTOR PROTECTION TRUSTS REGISTRY

INVESTMENT FIRMS (CYPRIOT)

CIF REGULATED ENTITIES LIST AS OF 20 FEBRUARY 2023
Click here to download in Excel format

Search: 搜索栏下面直接点击“O”跳出来结果

ALL | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z

OBR Investments Ltd

Licence Number: 217/13
Licence Date: 07/10/2013
Company Registration Number: 303684
Telephone: +357 25 763 805, Fax: +357 25 763 804
E-Mail: management@obrinvest.com

Octa Markets Cyprus Ltd

Licence Number: 372/18
Licence Date: 10/12/2018
Company Registration Number: 359992
Telephone: +357 25 251 973, Fax: +357 25 251 983
Country: Cyprus
E-Mail: info@octafx.eu

OEXN Limited

Licence Number: 423/22 牌照号码
Licence Date: 21/11/2022 日期
Company Registration Number: HE 412340 公司注册号
Telephone: +357 24 242 499 电话
E-Mail: info@oexn.com 邮箱

Omega Funds Investment Ltd

Licence Number: 102/09
Licence Date: 06/08/2009
Company Registration Number: 230096
Telephone: +357 25 028 920, Fax: +357 25 028 929
E-Mail: compliance@omegainvest.com.cy

THE COMMISSION CONTACT US | LINKS | EL / EN |

PUBLIC INFORMATION
REGULATED ENTITIES
AIF MANAGEMENT COMPANIES
INVESTMENT FIRMS
INVESTMENT FIRMS (CYPRIOT)
LIST OF APPROVED DOMAINS
FORMER INVESTMENT FIRMS (CYPRIOT)
INVESTMENT FIRMS (MEMBER STATES)
TIED AGENTS PUBLIC REGISTER
PUBLIC REGISTER OF CERTIFIED PERSONS
UCITS & MANAGEMENT COMPANIES
AIFM & MANCO
AIF
REGULATED MARKETS
MULTILATERAL TRADING FACILITIES (MTF)
ISSUERS
ASP
CRYPTO ASSET SERVICES PROVIDERS

HOME THE COMMISSION PUBLIC INFORMATION REGULATED ENTITIES REGULATORY FRAMEWORK INVESTOR PROTECTION TRUSTS REGISTRY

REGULATED ENTITIES INVESTMENT FIRMS INVESTMENT FIRMS (CYPRIOT) OEXN Limited

OEXN Limited

1 Kalimnou Street, Office 602, 6037, Larnaca, Cyprus 公司地址

Licence Number: 423/22 牌照号码

Licence Date: 21/11/2022 执照日期

Company Registration Number: HE 412340 公司注册号

Telephone: +357 24 242 499 电话

E-Mail: info@oexn.com

Approved Domains: 批准的域名
https://www.oexn.com;

Investment Services 可提供的投资服务和产品

解释范围: 1-11项

Explanations: 1 2 3 4 5 6 7 8 9 10 11

Reception and transmission of orders in relation to one or more financial instruments: 接受和传送一个或多个金融产品的订单	1,2,3,4,5,6,7,8,9,10
Execution of orders on behalf of clients: 为客户执行交易订单	1,2,3,4,5,6,7,8,9,10
Dealing on own account.: 在公司自己账户上进行做市和提供流动性	1,2,3,4,5,6,7,8,9,10

Ancillary Services 辅助服务和产品

Explanations: 1 2 3 4 5 6 7 8 9 10 11

Safekeeping and administration of financial instruments, including custodianship and related services: 金融产品的保管和管理, 包括保管和相关服务	1,2,3,4,5,6,7,8,9,10
Granting credits or loans to one or more financial instruments, where the firm granting the credit or loan is involved in the transaction: 向一项或多项金融产品授予信贷或贷款, 其中授予信贷或贷款的公司参与交易	1,2,3,4,5,6,7,8,9,10
Foreign exchange services where these are connected to the provision of investment services: 提供有关外汇服务的各种投资服务	<input checked="" type="checkbox"/>

3.Explanation 选项的许可范围翻译如下

Transferable securities.

Explanations: 1 2 3 4 5 6 7 8 9 10 11

Money-market instruments.										
2	3	4	5	6	7	8	9	10	11	

Units in collective investment undertakings.										
3	4	5	6	7	8	9	10	11		

Options, futures, swaps, forward rate agreements and any other derivative contracts relating to securities, currencies, interest rates or yields, emission allowances or other derivatives instruments, financial indices or financial measures which may be settled physically or in cash.

Options, futures, swaps, forwards and any other derivative contracts relating to commodities that must be settled in cash or may be settled in cash at the option of one of the parties other than by reason of default or other termination event.

Options, futures, swaps, and any other derivative contract relating to commodities that can be physically settled provided that they are traded on a regulated market, a 219 The present English text is for information purposes only and is not legally binding. The legally binding document is in the Greek language. MTF, or an OTF, except for wholesale energy products traded on an OTF that must be physically settled.

Options, futures, swaps, forwards and any other derivative contracts relating to commodities, that can be physically settled not otherwise mentioned in point 6) of this Part and not being for commercial purposes, which have the characteristics of other derivative financial instruments.

8. 转让信用风险类的衍生品

Derivative instruments for the transfer of credit risk.

9.金融 CFD (差价合约) 产品

Financial contracts for differences.

10.期权，期货，掉期，远期合同和其他有关天气变化，货运利率，通货膨胀利率

Options, futures, swaps, forward-rate agreements and any other derivative contracts relating to climatic variables, freight rates or inflation rates or other official economic statistics that must be settled in cash or may be settled in cash at the option of one of the parties other than by reason of default or other termination event, as well as any other derivative contracts relating to assets, rights, obligations, indices and measures not otherwise mentioned in this Part, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are traded on a regulated market, OTF, or an MTF.

11.根据欧盟 Directive 2003/87/EC 要求的排放额度。

Emission allowances consisting of any units recognised for compliance with the requirements of Directive 2003/87/EC.

欧洲证券市场管理局（简称：ESMA）是一个独立的欧盟监管机构，位于法国巴黎，其目的是改善投资者保护并促进稳定，有序的金融市场。ESMA 于 2011 年 1 月 1 日取代欧洲证券监管委员会（欧洲证监会）这是欧洲金融监管体系三个新的欧洲监管当局（ESAs）之一，另外两个是 EBA 和 EIOPA。

只有受到塞浦路斯证券和交易委员会（CySEC）全牌照监管的才受 ESMA 监管，具体查询流程如下：

1. 登陆到 FSC 官网：<https://registers.esma.europa.eu/>



The screenshot shows the ESMA Register website with the following elements:

- URL:** registers.esma.europa.eu/publication/searchRegister?core=esma_registers_upreg#
- ESMA Logo:** European Securities and Markets Authority
- Navigation:** Homepage, MIFID/UCITS/AIFMD/EUVECA entities (highlighted with a red box and labeled "受监管的实体企业").
- Basic information - Register:**
 - Selected Register: MIFID/UCITS/AIFMD/EUVECA entities (highlighted with a red box).
 - Refine search: Keyword search: OEKN (highlighted with a red box).
- Disclaimer:** ESMA has compiled this register of management companies, investment firms, alternative investment fund managers, regulated markets, multilateral trading facilities, organised trading facilities, systematic internalisers and data reporting services providers authorised by the national competent authorities of the Member States. Please refer to the specific disclaimer in respect of each type of entity by clicking on the dedicated link.
- Search Results:**
 - Items: 1-1 / 1
 - Results per page: 10
 - Table with columns: Entity type, Entity name, Home member state, Host member state, Office type, Competent authority, Authorisation/Notification date, Authorisation withdrawal/End date, Status, Last update, More Info.
 - Row 1: Investment firm, OEKN LIMITED, CYPRUS, Head office, Cyprus Securities and Exchange Commission (CySEC), 21/11/2022, Active, 08/03/2023.
- Annotations:**
 - "国家" (Country) points to CYPRUS.
 - "办公室类型" (Office type) points to Head office.
 - "主管当局" (Competent authority) points to Cyprus Securities and Exchange Commission (CySEC).
 - "CYSEC全牌照监管" (Full license supervision by CySEC) is written below the table.
 - "最后一次更新记录" (Last update record) points to 08/03/2023.
 - "投资公司" (Investment company) is written next to the first row.
 - "塞浦路斯" (Cyprus) is written next to CYPRUS.
 - "总部" (Head office) is written next to Head office.

2. 点击 “more info” 跳转到监管详情

Current Activities OEXN受ESMA欧洲证券市场监管局监管中目前可提供的服务和产品				
Service Name 服务名称	Authorisation / Notification date 授权/通知日期	Status 状态	Comment	
Dealing on own account	21/11/2022	Active	在公司账户上进行做市和提供流动性	
Execution of orders	21/11/2022	Active	执行订单	
Foreign exchange services	21/11/2022	Active	外汇服务	
Granting credits or loans	21/11/2022	Active	授予信贷或贷款	
Investment advice	21/11/2022	Active	投资建议	
Investment services regarding certain financial instruments	21/11/2022	Active	有关某些金融工具的投资服务	
Portfolio Management	21/11/2022	Active	投资组合管理	
Reception and transmission of orders	21/11/2022	Active	订单的接收和传输	
Safekeeping and administration	21/11/2022	Active	保管和管理	

Activities History 提供历史				
Service Name	Authorisation / Notification date	Authorisation withdrawal / End date	Status	Comment
Dealing on own account	21/11/2022		Active	
Execution of orders	21/11/2022		Active	
Foreign exchange services	21/11/2022		Active	
Granting credits or loans	21/11/2022		Active	
Investment advice	21/11/2022		Active	
Investment services regarding certain financial instruments	21/11/2022		Active	
Portfolio Management	21/11/2022		Active	
Reception and transmission of orders	21/11/2022		Active	

和上面服务名称完全一样

PrintExport to CSV

FSC 是毛里求斯的金融监管机构。它于 2001 年根据《金融服务法》成立，负责监管和监督毛里求斯的金融服务业。这包括银行、非银行金融机构、保险公司、养老基金和证券交易商。FSC 的主要目标是促进金融体系的稳定性和完整性，保护金融服务的消费者，促进金融业的发展。它有权颁发许可证、制定规章制度，并对违反其规定的公司和个人采取纪律处分。

OEXN BROKER Limited 由毛里求斯金融服务委员会 (FSC) 授权和监管，许可证号为 GB21026677，具体查询流程如下：

1. 登录到 FSC 官网：www.fscmauritius.org（谷歌浏览器可复制打开），或者如下搜索：



The screenshot shows a Bing search results page for the query '毛里求斯金融服务委员会 (FSC)'. The search bar at the top shows the query and a red arrow points to it. Below the search bar, there are tabs for '网页' (Web), '工作' (Jobs), '图片' (Images), '视频' (Videos), '学术' (Academic), '词典' (Dictionary), and '地图' (Maps). The search results show approximately 3,010,000 results. The first result is a snippet about the Financial Services Commission (FSC) of Mauritius, mentioning its establishment in 2011 and its role in regulating the financial services industry. Below this, there is a link to a Zhihu article titled '非洲金融中心——毛里求斯 (FSC) - 知乎'. A red arrow points to this link. At the bottom of the search results, there is a card for 'Financial Services Commission - Mauritius' with the URL 'https://www.fscmauritius.org' and a red arrow pointing to it. Below the card, there are links to 'About Us', 'Supervision', 'Enforcement', and 'AML'. A red arrow points to the 'Supervision' link. The card also features a '打开网站' (Open Website) button.

2. 首页-点击右边- “Register of Licensees(注册牌照)”



3. 切换查询方式--按照企业名称查询--点击 “search by name” ，输入 “OEXN”

